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READ!				

A MOITA GALANCE COMMENT	ND POWER OF ATTORNEY FOR PAT	ENT APPLICATION		was the I verily
As a below named inventor, I hereby d	declare that; my residence, post office and	ow) or a joint inventor (if	stated below to plural inventors	are named) of the subject
ieve that I am the original, first and so fter which is claimed and for which a r	patent is sought on the Invention entitled: ONAL DEALING IN AN	ANONYMOUS T	RADING	SYSTEM OF E
CONVENSAL				
specification of which is attached her	eto, unless the following box is checked:	tion Number of PCT Inter	mational patent	DEC 2 6 2000
was filed on June 23.	2000 as United States patent Applies 3,390 and was amended on d understand the contents of the above id	HON THAILDS.	(if any)	E.
application number 09/603	3, 390 and was alreaded on	entified specification, incl	luding the claims	as amended by TRADEN
I hereby state that I have reviewed un nendment referred to above.	Id understand the contents of the above to	entability in accordance w	rith Title 37, Coo	e of Federal Regulations,
I acknowledge the duty to disclose at	" Title 35 United States Code § 119 of any	y foreign application(s) for	r patent or inventor's	tor's certificate or United certificate having a filing
I hereby claim printly benefits under tales provisional application(s) listed b are before that of the application on wh	l information known to be material to pot r Title 35, United States Code §119 of an elow and have also identified below any nich priority is claimed;	Totalian abbutances so. be-		
rior Foreign or Provisional Application	n(s)	DATE OF FL		PRIORITY CLAIMED
COUNTRY	Application number	(day, month,	year)	VES NO
				YES NO_
				YES NO
I hereby claim the benefit under Titl of each of the claims of this application United States Code, \$112, I acknowled Regulations, \$1.56 which became avail	le 35, United States Code, § 120 of any Un n is not disclosed in the prior United State lee the duty to disclose information which lable between the filing date of the prior t	nice States and the manning application in the manning is material to patentability application and the nation	er provided by the state of the	e liest paragraph of the strict of file 37, Code of Federal zional filing date of this
apprication.	DATE OF FILING	;		STATUS I, pending, abandoned)
UNITED STATES APPLICATION NUMBER	(day, month, year)			
		4		
	CEPB & SOF	FRN, LLP, and the member	ers of the tirm, S	unwel H. Weiner - Reg. No.
I hereby appaint customer no. 235: 18,510; Jerome M. Berliner - Kes. No. No. 22,400; Steven I. Weisburd - Reg. No. 30,173; William O. Gray, III - Re power of substitution and revocation of receive all correspondence.	2 OSTROLENK, FABER, GERB & SOF 5. 18,653; Robert C. Faber - Reg. No. 24, 1, No. 27,409; Max Moskowitz - Reg. No. 2, No. 30,944; Louis C. Dujmich - Reg. 10 to prosecute this application, to transact a	FBN, LLP, and the member of the state of the	ers of the firm, S - Reg. No. 24.73 m - Reg. No. 31, Miro - Reg. No. 2 Trademark Offi CT TELEPHON	anniel H. Weiner - Reg. No. 5; Stanley H. Lieberstein - Reg. 163; Iames A. Finder - Reg. 3, 31, 643, as attorneys with full ce connected therewith and to
SEND CORRESPONDENCE TO:	OSTROLENK, FABER, GERB & S) AVENUE OF THE AMERICAS) AVENUE OF THE MERICAS 1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	OFFEN, (212) 382-0700		
SUND CORRESPONDENCE TO: 1180 NEV CUS I hereby declare that all statement the true; and further that these statement	OSTROLENK, FABER, GERB & S) AVENUE OF THE AMERICAS W YORK, NEW YORK 10036-8403 STOMER NO. 2352 St made herein of my own knowledge are ents were made with the knowledge that a h 1001 of Title 18 of the United States Co	true and that all alatement willful false statements and ide, and that such willful f	s made on intorn d the like so mad alse statements r	nation and belief are believed to a are punishable by fine or nay jeopardize the validity of
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COMBINED DECLARATION A	nited states of America and power of attorney for pate	NT APPLICATION	OFGS FILE NO. P/3331-132
COUNTRY	APPLICATION NUMBER	DATE OF FILING	FRIORITY CLAIMED UNDER 35 U.S.C. 119
			YESNO
			YES NO
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			ARR WO T
			YES NO
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YES __

YES

YES

YES.

NO.

NO

NO

NO_

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	A	l l	DATI				
FULL NAME OF FOURTH FOINT INVENTOR IF ANY JOHN C. GAUDIO	INVENTOR'S SIUNATURED	uda	10-16-00				
RESIDENCE (City and either State or Foreign Country) Middletown, New Jersey	0	COUNTRY OF CITIZENSHIP United States					
POST OFFICE ADDRESS 22 Buttonwood Road, Middletown, New Jersey 07748							
PULL NAME OF FIFTH JOINT INVENTOR IF ANY John R. CAPUANO	INVENTOR'S SIGNATURB		9TAD				
RESIDENCE (City and either State or Foreign Country) Chatham, New Jersey	COUNTRY OF CITZENSHIP United States						
POST OFFICE ADDRESS 11 Hedges Avenue, Chatham, New Jersey 07928							
PULL NAME OF SIXTH JOINT INVENTOR, IT ANY Gregory D. MILLS	DATE						
RESIDENCE (City and either State or Foreign Country) Flanders, New Jersey	country of citizenship United States						
rost office Address 24 Carlton Road, Flanders, New Jersey 07836							
FILL NAME OF SEVENTH JOINT INVENTOR, IT ANY STIVATHSON KRISHNASAMI	INVENTORY SIGNATURES	<u> </u>	DATE 10/16/00				
RESIDENCE (City and either State or Foreign Country) New York, New York COUNTRY OF CITIZENSHIP United States							
POST OFFICE ADDRESS 528 East 79th Street, Apt. 6D, New York, New York 10021							

LON H. R. /LEGAL ;212 521 4659 OFGS FILE NO. P/3131-132 ES OF AMERICA משנואט איז: COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if unly one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled: CONVERSATIONAL DEALING IN AN ANONYMOUS TRADING SYSTEM 2 6 2000 the specification of which is attached hereto, unless the following box is checked: DEC Was filed on June 23, 2000 as United States patent Application Number or PCT International patent EN STRADEN application number 09/603,390 and was amended on I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by Lactonowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, amendment referred to above 91.36.
I hereby claim priority benefits under Title 35, United States Code \$119 of any foreign application(s) for patent or inventor's certificate or United States provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: Prior Foreign or Provisional Application(s) PRIORITY CLAIMED UNDER 35 U.S.C. 119 DATE OF FILING (day, month, year) APPLICATION NUMBER COUNTRY YES NO YES NO NO YES I hereby claim the benefit under Title 35, United States Code, \$120 of any United States application(s) histed below and, insofur as the subject matter of cach of the claims of this application in the prior United States application in the manner provided by the lirst paragraph of Title 35, United States Code, \$12.1 acknowledge the duty to disclose information which is material to patentiability as defined in Title 37, Code of Federal Regulations, \$1.50 which became available between the filing date of the prior application and the national or PCT international filing date of this application. STATUS (patented, pending, abandoned) DATE OF FILING (day, month, year) United States Application number I hereby appoint customer no. 2352 OSTROLENK, FABER, GERB & SOFFEN, LLP, and the members of the firm, Sanniel H. Weiner - Reg. No. 18,510; Jennie M. Berliner - Reg. No. 18,653; Robert C. Faber - Reg. No. 24,322; Edward A. Mellman - Reg. No. 24,735; Stanley H. Liebertshim - Reg. No. 22,400; Max Mosknwitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,633; Junes A. Fluder - Reg. No. 22,400; Max Mosknwitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,643, as uttorneys with full No. 30,173; William O. Gray, Ill - Reg. No. 30,944; Louis C. Dujmich - Reg. No. 30,025 and Douglas A. Miro - Reg. No. 31,643, as uttorneys with full nower of substitution and revocation to prosecute this application, to transact all business in the Patent & Tradomark Office connected therewith and to receive all correspondence. SUND CORRESPONDENCE TO: OSTROLENK, FABER, GERB & SOFFEN, LLP DIRE 1180 AVENUE OF THE AMERICAS (212) 382-0700 NEW YORK, NEW YORK 10036-8403 CUSTOMER NO. 2352 DIRECT TELEPHONE CALLS TO: I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the life so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. DATE INVENTOR'S SIGNATURE

August M. HOCHENBERGER	COUNTRY OF CITIZENSHIP
RESILIENCE (City and cither State or Foreign Country) East Islip, New York	United States
POSTOFFICE ADDRESS 204 Bellmore Avenue, East Islip, New York	11730
PUIL NAME OF SECOND JOINT INVENTOR (IF ANY) Edward R. HOWORKA	COUNTRY OF CITIZENSHIP
RESTRUCCE (City and either State or Foreign Country) Morris Plains, New Jersey	United States
POST OFFICE ADDRESS 2043 Gates Court, Morris Plains, New Jersey	07950
full name of third look inventor (5 any) Neena Jain	
RESIDENCE (City and either State or Foreign Country) South Plainfield, New Jersey	COUNTRY OF CITZENSHIP United States
POST OFFICE ADDRESS 3756 Victory Street, South Plainfield, New	Jersey 07080

CONTINUED ON PAGE 2

FULL NAME OF SOLE OR FIRST INVENTOR

• • •					
UNIT COMMINED DECLARATION AND	ED STATES OF AMERICA POWER OF ATTORNEY FOR P	Atent application	OF	GS FILE NO. P/3331-132	
COUNTRY	DATE OF FILING (day, month, year)		PRIORITY CLAIMED UNDER 35 U.S.C. 119		
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John C. GAUDIO	R, IF ANY	inventor's signature		DATE	
RESIDENCE (City and either State or Fun Middletown, New Je	COUNTRY OF CITIZENSHIP United States				
POST OFFICE ADDRESS 22 Buttonwood Road	d, Middletown, No	ew Jersey 077	48		
FULL NAME OF FUTTH JOINT INVENTOR I John R. CAPUANO	Pany	inventur's signature		DAT8	
RESIDENCE (City and either State or Foreign Country) Chatham, New Jersey			COUNTRY OF CITEMENSHIP United States		
rostoffics ADDRESS 11 Hedges Avenue,	Chatham, New Jer	rsey 07928			
FULL NAME OF SIXTH JOINT INVENTOR, Gregory D. MILLS	IF ANY	INVENTOR'S SIGNATURE	15	DATE 21 NOV 200	
RESIDENCE (City and either State or Foreign Country)				COUNTRY OF CITZENSHIP United States	
POST OFFICE ADDRESS 24 Carlton Road, I	Flanders, New Je	rsey 07836			
FILL NAME OF SEVENTH JOINT INVENTOR STIVATHS AN KRISHNI		INVENTOR'S SIGNATURE		DATE	
NEW York, New York			rofctizenshir ed States		
POSTOFFICE ADDRESS 528 East 79th Street	et, Apt. 6D, New	York, New Yor	rk 10	021	

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COMBINED		UNITED STATES		ENT APPLICATION	17			4
As a helow named	inventor, I herel	y declare that my r	exidence, post office ad ly one name is listed be	dress and cidzenship are low) or a joint inventor (as stated bel (if plural invo	ow next to my na intors are named)	of the subject	
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Prior Foreign or Prov	isional Applicat	ion(s)		DATEOF	FILING	PRIOR	ITY CLAIMED	٦
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I hereby appoint 18,510; Jemme M. No. 22,400; Steven No. 30,173; William nower of substitute receive all correspo	customer no. 23 Berliner - Reg. I L. Weisburd - R n O. Gray, III - I n and revocation ndenue.	52 OSTROLENK, 18,653; Robert of cg. No. 27,409; Mar Reg. No. 30,944; Lo n to prosecute this a	FABER, GERB & SOFI C. Faber - Reg. No. 24,3 Moskowitz - Reg. No. uis C. Dujmich - Reg. No. pplication, to transact a	PRN, LLP, and the member of the state of the	bers of the fit 1 - Rep. No. 7 fcn - Reg. No. A. Miro - Ra & Tradomari ECT TELEP	rm, Sannuel H. We 14.735; Stanley H 3. 31,063; James H g. No. 31,643, an c Office connector HONE CALLS TO	singr - Reg. No. Licberstein - Re. Licberstein - Re. Licherstein - Reg. Litorneys with he therewith and to	og. di o
SEND CORRESPO	NDENCE TO: 11: NF	80 AVENUE OF THE W YORK, NEW Y	TE AMERICAS ORK 10036-8403	(212) 382-0700	3			
I hereby declare be true; and further imprisonment, or b the application or a	that all statements that these states oth, under Section my patent issued	nts made herein of the ments were made with a 1001 of Title 18 is thereon.	ny own knowledge are to th the knowledge that w of the United States Coo	rue and that all statemen filful false statements ar le, and that such willful	its made on it id the like so false stateme		ible by fine or the validity of	
FULL NAME OF SOL	OR FIRST INVE	NTOR	MYENTOR'S SIG	NATURE]	DATE		
August M.	HUCHEN	Paralma Communi			COUNTRY	OF CITIZENSHIP		1
RESULPICE (City of East Isl:	ip, New	York			Unite	ed State	5	\dashv
POST OFFICE ADDR	nore Ave	nue, East	Islip, Ne	w York 117	30	DATE		
FUIL NAME OF SEC Edward R	OND JOINT INVE	NTOR (IF ANY)	inventor's sig	NATURE				{
TO TO TO TO					I COUNTRY	OF CITIZENSHIP	• •	• • • • • • • • • • • • • • • • • • • •

INVENTOR'S SIGNATURE

3756 Victory Street, South Plainfield, New Jersey 07080

CONTINUED ON PAGE 2

RESTRENCE (City and either State or Foreign Country)

RESIDENCE (City and either State or Foreign Country)

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FULL NAME OF THIRD JOINT INVENTOR (IF ANY)

Morris Plains, New Jersey

2043 Cates Court, Morris Plains, New Jersey

POST OFFICE ADDRESS

Neena JAIN

POST OFFICE ADDRESS

DATE

COUNTRY OF CITIZENSHIP

United States

07950

United States

UNITED STATES OF AMERICA

OFGS FILE NO. P/3331-132

LON H. R. /LEGAL

COMBINED DECLARATION AND	POWEROF ATTORNET FOR IT	A LEAT MPLICATION	_		
COUNTRY	APPLICATION NUMBER	DATE OF FILIN (day, month, year		PRIORITY CLAIMED UNDER 35 U.S.C. 119	
				YES_NO_	
				YES NO	
				YUS NO	
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I hereby declare that all amterioris in believed to be two; and further that thes by fine or imprisonment, or both, under validity of the application or any patent	nade herein of my own knowledge: to statements were made with the lo Section 1001 of Title 18 of the Un- issued thereon.	are true and that all statements nowledge that willful false stat tited States Code and that such	made on it tements and willful fals	aformation and belief are the like so made are punishable the statements may jeupardize the	
FULL NAME OF FOURTH POINT INVENTOR John C. GAUDIO	, IF ANY	INVENTOR'S SIGNATURE		DATE	
RESIDENCE (City and either State or Furn Middletown, New Je	eign Country) Ersey		COUNTRY OF CHIZENSHIP United States		
POST OFFICE ADDRESS 22 Buttonwood Road	, Middletown, Ne		48		
FULL NAME OF FIFTH JOINT INVENTOR IS John R. CAPUANO	INVERTORSFICNATURE		10/18/00		
RESIDENCE (City and either State or Ford Chatham, New Jerse			op CTTWENSHIP ed States		
rostoffics ADDRESS 11 Hedges Avenue,	Chatham, New Jer	rsey 07928			
FULL NAME OF SIXTH JOINT INVENTOR, IT Gregory D. MILLIS	INVENTOR'S SIGNATURE D.		DATE		
RESIDENCE (City and either State or Ford Flanders, New Jers			COUNTRY OF CHIZENSHIP United States		
rost office ADDRESS 24 Carlton Road, F	landers, New Je:	rsey 07836			
RAIL NAME OF SEVENTH JOINT INVENTO Srivathsan KRISHNA		invenior's signature	INVENIOR'S SIGNATURE DATE		
RESIDENCE (City and either State or Form New York, New York			of CTTZENSHIP ed States		
POST OFFICE ADDRESS 528 East 79th Street	et, Apt. 6D, New	York, New Yor	k 10	021	

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